



537.1008US

UNITED STATES PATENT & TRADEMARK OFFICE

Re: Application of: **Kamran L. RAFIEYAN, et al**
Serial No.: **10/762,123**
Filed: **January 21, 2004**
For: **AUTOMATED SYSTEM FOR ROUTING
ORDERS FOR FINANCIAL INSTRUMENTS**

Mail Stop: MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

March 22, 2004

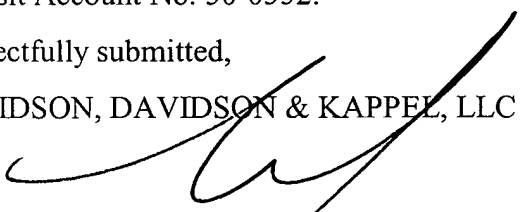
RESPONSE TO ANTICIPATED NOTICE TO FILE MISSING PARTS

Sir:

In Anticipation of a Notice to File Missing Parts, please find an executed Declaration and Power of Attorney form signed by the inventors, and a check in the amount of \$130.00 covering the surcharge.

If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge payment of the same to Deposit Account No. 50-0552.

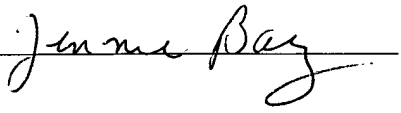
Respectfully submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By 
Cary S. Kappel
Reg. No. 36,561

03/25/2004 EFLORES 00000035 10762123
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Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736-1940

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on March 22, 2004.
DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450
Mail Stop: Missing Parts



Docket No.: 537.1008US
Date: March 22, 2004

In re application of: **Kamran L. RAFIEYAN, et al.**

Serial No.: **10/762,123**

Filed: **January 21, 2004**

For: **AUTOMATED SYSTEM FOR ROUTING ORDERS FOR FINANCIAL INSTRUMENTS**

Sir:

Transmitted herewith is an **Response to Anticipated Notice to File Missing Parts** in the above-identified application.

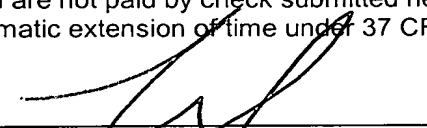
- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
☒ No fee for additional claims is required.
☐ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST	RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	PRESENT				
	AMENDMENT	PAID FOR					
			EXTRA				
TOTAL CLAIMS	* Minus**	=	x0\$	\$		x \$	\$
INDEP. CLAIMS	* Minus***	=	x0\$	\$		x \$	\$
[] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				\$		+ \$	\$

TOTAL: \$ OR TOTAL: \$

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:
☐ Petition for extension under 37 C.F.R. 1.136
☒ Other: - **Executed Declaration and Power of Attorney**
- ☒ Check(s) in the amount of **\$130.00** is attached to cover:
☐ Filing fee for additional claims under 37 C.F.R. 1.16
☐ Petition fee for extension under 37 C.F.R. 1.136
☒ Other: - **Surcharge.**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.


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DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 